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PTO/SB/97 (08-00)

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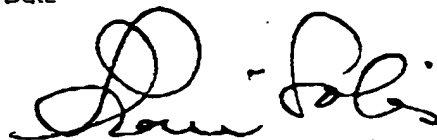
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In re Application of: Colbert, et al.

Serial No: 10/038,204

Filed: December 21, 2001

Group Art Unit: 2881

Examiner: Not Yet Assigned

Title: Method for Forming an Array of Single-Wall Carbon Nanotubes and Compositions Thereof

Attached: Request for Corrected Filing Receipt - 4 pages

Attorney Docket No. 11321-P011C1D3

ATTORNEY DOCKET NO.  
11321-P011C1D3

PATENT  
10/038,204

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: Colbert, et al.  
Serial No.: 10/038,204  
Filed: December 21, 2001  
Group Art Unit: 2881  
Examiner: Not Yet Assigned  
Title: METHOD FOR FORMING AN ARRAY OF SINGLE-WALL CARBON NANOTUBES  
AND COMPOSITIONS THEREOF

Mail Stop Initial Patent Processing  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error in that the following is:  
incorrectly entered:
  - a. please correct the correspondence address to read as follows:

XX omitted

Applicant's name

Applicant's address

Title

Filing Date

Serial Number

Foreign/PCT Application Reference

XX Other – not all inventors are identified.

On July 2, 2003 an Amended Declaration and Power of Attorney was filed for this application identifying all ten (10) inventors. To date, a corrected filing receipt has not yet been received. Accordingly, please issue a corrected filing receipt identifying the following ten (10) inventors:

ATTORNEY DOCKET NO.  
11321-P011C1D3

PATENT  
10/038,204

COLBERT, Daniel T.; Houston, Texas  
DAL, Hongjie.; Sunnyvale, California  
HAFNER, Jason H.; Houston, Texas  
RINZLER, Andrew G.; Newberry, Florida  
SMALLEY, Richard E.; Houston, Texas  
LFU, Jie, Chapel Hill, North Carolina  
SMITH, Kenneth A.; Katy, Texas  
GUO, Ting; Davis, California  
NIKOLAEV, Pavel; Houston, Texas  
THESS, Andreas; Kunsterdingen, Germany

**PLEASE ALSO NOTE THAT APPLICANT DOES NOT CLAIM "SMALL ENTITY STATUS."**

3. *(complete the following applicable item A or B)*

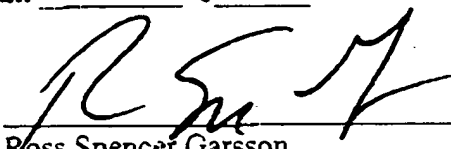
A. XX The correction is not due to any error by applicant and no fee is due. However, should any fee be due by this paper, the Director is hereby authorized to charge any amounts due to Deposit Account Number 23-2426 of Winstead Sechrest & Minick P.C.

B. The correction is due to applicant's error and the fee therefore under 37 CFR 1.19(h) of \$25.00 is paid as follows:

Enclosed is check for \$\_\_\_\_\_.

Charge Deposit Account \_\_\_\_\_ \$\_\_\_\_\_.

Dated: October 22, 2003

  
\_\_\_\_\_  
Ross Spencer Garsson  
Reg. No. 38,150

WINSTEAD SECHREST & MINICK, P.C.  
P.O. Box 50784  
1201 Main Street  
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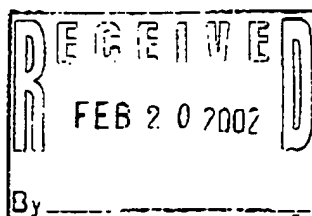


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FILE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/038,204	12/21/2001	2881	871	11321- P011C1D3	14	57	7

Hugh R. Kress  
2400 Bank One Center  
910 Travis Street  
Houston, TX 77002



CONFIRMATION NO. 1758

## FILING RECEIPT



\*OC000000007463243\*

DOCKETED  
AMA 2/20/02

Date Mailed: 02/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U S APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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GERMANY

## Assignment For Published Patent Application

William Marsh Rice University, Houston, TX;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 10/000,746 11/30/2001  
WHICH IS A CON OF 09/242,040 09/13/1999 ABN  
WHICH IS A 371 OF PCT/US97/13896 08/08/1997  
WHICH CLAIMS BENEFIT OF 60/023,732 08/08/1996

## Foreign Applications

If Required, Foreign Filing License Granted 02/12/2002

Projected Publication Date: 05/23/2002

Non-Publication Request: No

Early Publication Request: No

~~SMALL ENTITY~~

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Title

Method for forming an array of single-wall carbon nanotubes and compositions thereof

Preliminary Class

250

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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